



## **SEC RULE 606 DISCLOSURE OF ORDER ROUTING PRACTICES**

SEC Rule 606 requires all broker-dealers (including introducing firms) that route customer orders in equity and option securities are required to make publicly available quarterly reports that, among other things, identify the venues to which customer orders are routed for execution and also disclose the material aspects of the broker-dealer's relationship with such venues.

Oakwood Capital Securities does not provide order routing services. Equity orders are routed to RBC, and routing reports can be found at this link: <https://www.rbcclearingandcustody.com/en-us/legal/sec-order-handling-disclosure/>

Should you have any questions, you may contact Oakwood at (952) 935-4601 or by email at [info@oakwoodcs.com](mailto:info@oakwoodcs.com).

FINRA / SIPC      Oakwood Capital Securities, Inc. is full-service independent broker-dealer

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